# Methods of Eliciting Broadly Neutralizing Antibodies Targeting HIV-1 gp41

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## Background of the Invention

## Cross-Reference to Related Applications

This application claims priority benefit under 35 U.S.C. § 119(d)(e) to U.S. Provisional Application No. 60/115,404, filed January 8, 1999, the entire contents of which is incorporated herein by reference.

## Statement as to Rights to Inventions Made Under Federally-Sponsored Research and Development

Part of the work performed during development of this invention utilized U.S. Government funds. The U.S. Government has certain rights in this invention pursuant to INNOVATION Grant No. R21 AI 42714.

## 15 Field of the Invention

The present invention is related to HIV therapy and prophylaxis. In particular, the invention relates to methods for eliciting broadly neutralizing antibodies that target entry-relevant structures of HIV-1 gp41. Such methods, and pharmaceutical compositions therefor, can be employed to inhibit HIV entry into uninfected cells.

## 20 Related Art

The development of effective vaccines to prevent infection with HIV remains a high priority goal. To date, envelope glycoproteins (gp160 and gp120/gp41) have been the main focus of vaccine research efforts. One result of this work is the observation that

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the humoral response generated against native forms of the envelope (primarily oligomeric forms of the gp120/gp41 complex) is more broadly neutralizing than antibody raised against denatured and/or monomeric envelope (VanCott, T. C., et al., J. Virol. 71:4319-4330 (1997)). Structural considerations are important components for both understanding the immunogenicity of the envelope protein and the design of envelope based immunogens which induce a broad neutralizing response against HIV.

A good deal of structural information is available with respect to the transmembrane protein (TM or gp41). Predictive work indicated that several regions of the ectodomain of gp41 display a high propensity to exhibit certain specific types of secondary structure (Gallaher, W. R., et al., AIDS Res. Hum. Retroviruses 5:431-440 (1989); Delwart, E. L., et al., AIDS Res. Hum. Retroviruses 6:703-704 (1990)). Experimental work employing both synthetic peptides and protein recombinants has established that these predictions were generally correct and recently a three dimensional structure for a portion of the gp41 ectodomain was reported (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:12676-12680 (1994); Wild, C., et al., AIDS Res. Hum. Retroviruses 11:323-325 (1995); Chan, D. C., et al. Cell 89:263-273 (1997)). Results from both solution studies and crystallographic analysis indicate that in one form this structured region of the transmembrane protein is a trimer of two interacting regions of gp41. This trimeric structure is a six helix bundle consisting of an interior parallel coiled-coil trimer (region one) which associates with three identical α-helices (region two) which pack in an oblique, antiparallel manner into the hydrophobic grooves on the surface of the coiled-coil trimer (FIG. 3). This hydrophobic self-assembly domain is believed to constitute the core structure of gp41.

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A series of studies carried out using both synthetic peptides and recombinant proteins modeling the distal regions of the TM involved in generating this structure suggest that it (or the gp41 regions from which it is derived) plays a critical role in the process of HIV-1 entry (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992); Wild, C., et al., AIDS Res. Hum. Retroviruses 9:1051-1053 (1993); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:12676-12680 (1994); Wild, C., et al., AIDS Res. Hum.

Retroviruses 11:323-325 (1995); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:9770-9774 (1994); Chen, C.-H., et al., J. Virol. 69:3771-3777 (1995)).

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The functional role of the transmembrane protein of HIV-1 in virus replication was shown when the region of the ectodomain of the TM corresponding to amino acid residues 558-595, which was predictive of  $\alpha$ -helical secondary structure (Gallaher, W. R., et al., AIDS Res. Hum. Retroviruses 5:431-440 (1989); Delwart, E. L., et al., AIDS Res. Hum. Retroviruses 6:703-704 (1990)), formed a coiled-coil structure when modeled as a synthetic peptide (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992)). The peptide modeling this region, DP-107, was shown to be a potent, virus specific inhibitor of HIV replication and the inhibitory activity was related to the structural components exhibited by the peptide. In both neutralization and cell-cell fusion assays, the DP-107 peptide completely blocked virus infection at concentrations of 1.0 µg/ml. Unlike other inhibitors of HIV replication (i.e. soluble CD4) and most neutralizing sera, the activity of the DP-107 peptide was not isolate restricted. Using a series of DP-107 analogs containing structure disrupting point mutations and a set of HIV-1 envelope constructs containing identical mutations, it has been shown that the structural components of the coiled-coil region of the TM were critical to both virus entry and fusion phenotype and that mutations which disrupted this gp41 structure gave rise to an envelope complex which was unable to mediate virus entry (Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:12676-12680 (1994)).

Studies of the coiled-coil domain of gp41 resulted in the identification of a second region of the ectodomain of the TM, which when modeled as a synthetic peptide, was also a potent, virus specific inhibitor of HIV replication (Wild, C., et al., AIDS Res. Hum. Retroviruses 9:1051-1053 (1993)). However, unlike the DP-107 region, the peptide corresponding to amino acid residues 643-678 of the TM (DP-178), did not exhibit stable solution structure. Experiments with the DP-107 and DP-178 peptides established that both of these materials blocked HIV replication at an early step, most likely during virus entry (Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:9770-9774 (1994)). This observation led to speculation that these peptides might inhibit virus replication by interacting with and disrupting determinants within the TM that were critical for virus

entry. Efforts to better define the higher order structural components that were present in gp41 and functioned during virus entry led to the observation that the distal regions of the TM modeled by the two inhibitory peptides (DP-107 and DP-178) did interact with one another to form an oligomeric structure (Wild, C., et al., AIDS Res. Hum. Retroviruses 11:323-325 (1995); Chen, C. -H., et al., J. Virol. 69:3771-3777 (1995)). Recently, this oligomeric structure was characterized as a trimeric, six helix bundle consisting of an interior parallel coiled-coil trimer (DP-107 region) which associates with three identical α-helices (DP-178 region) which pack into the hydrophobic grooves on the surface of the coiled-coil trimer (Figure 3) (Chan, D. C., et al, Cell 89:263-273 (1997)).

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Research has focused on determining the functional role of these gp41 structural determinants in virus entry. DP-107 and DP-178 peptides interact in a specific manner with the ectodomain of gp41 and this interaction is critical to their inhibitory activities.

U.S. Patent No. 5,464,933, Bolognesi *et al.*, describes peptides which exhibit potent anti-retroviral activity. Specifically disclosed are the peptide DP-178 (SEQ ID NO:3) derived from the HIV-1<sub>LAI</sub> gp41 protein, as well as fragments, analogs and homologs of DP-178. The peptides are used as direct inhibitors of human and non-human retroviral transmission to uninfected cells. The patent teaches that the peptides may also be prophylactically employed in individuals after such individuals have had an acute exposure to HIV.

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U.S. Patent No. 5,656,410, Wild *et al.*, describes protein fragments derived from the HIV transmembrane glycoprotein (gp41), including the peptide DP-107 (SEQ. ID NO:1) which have antiviral activity. Also disclosed are methods for inhibiting enveloped viral infection, and methods that modulate biochemical processes involving coiled coil peptide interactions.

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While recent work has increased knowledge of the structural components of the HIV-1 transmembrane protein, the immunogenic nature of gp41 remains poorly understood. It is known that one of two immunodominant regions present in the HIV-1 envelope complex is located in gp41 (Xu, J. -Y., et al., J. Virol. 65:4832-4838 (1991)). This determinant (TM residues 597-613) is associated with a strong, albeit non-neutralizing humoral response in a large number of HIV+ individuals. Also, the

broadly neutralizing antibody, 2F5, maps to the ectodomain of gp41 (TM residues 662-667) (Muster, T., et al., J. Virol. 67:6642-6647 (1993); Muster, T., et al., J. Virol. 68:4031-4034 (1994)). It is interesting to note that this antibody maps to a determinant of the TM that overlaps one of the two regions of gp41 which interact to form the recently characterized hydrophobic core of the protein (Figure 1). This observation has lead to speculation that 2F5 might actually neutralize virus by interacting with and disrupting the function of an entry-relevant gp41 structure. An extensive study which mapped the antigenic structure of gp41 supports this idea. This work characterized several conformation dependent gp41 MAbs which mapped to the same region of the TM as 2F5 (Earl, P. L., et al., J. Virol. 71:2647-2684 (1997)). Although the binding sites for these non-neutralizing monoclonal antibodies (MAbs) overlapped the 2F5 determinant, in competition experiments neither of these antibodies was blocked from binding to native protein by the 2F5 MAb. This indicates that while the two dimensional regions to which these antibodies map are similar, the three dimensional epitopes to which they bind are quite different.

The observation that only one neutralizing MAb (2F5) maps to the ectodomain of gp41 and that antibodies to the 2F5 epitope are poorly represented in sera from HIV infected individuals suggests that, for the most part, gp41 neutralizing epitopes are cryptic. The cryptic nature of these neutralizing epitopes is most likely related to the functional role of the TM in HIV-1 replication which involves mediating virus entry. It has been shown that prior to gp120-CD4 binding the HIV envelope complex exists in a non-fusogenic form. While the exact nature of this pre-entry form is unknown, binding experiments have established that the non-fusogenic state is characterized by the inaccessibility of large portions of the gp41 ectodomain (Sattentau, Q. J. and J. P. Moore, J. Exp. Med. 174:407-415 (1991); Sattentau, Q. J., et al., Virol. 206:713-717 (1995)). However, once binding of virus to target cell has occurred, the gp120-gp41 complex undergoes a series of conformational changes that involve reorganization of both the extracellular surface component of the HIV-1 envelope protein (SU or gp120) and TM proteins and the formation of structural components within the TM which are believed to be critical to virus entry. Although the steps involved in the transition from the

non-fusogenic to fusogenic state are largely unknown, it is believed that this transformation is characterized by the formation of a series of structural intermediates within the transmembrane protein which drive the conformational changes required for virus entry. The transitory nature of this event and the structures associated with it, rather than the absence of appropriate structural determinants, are believed to account for the poor neutralizing response to the TM component of the envelope system.

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Attention has been given to the development of vaccines for the treatment of HIV infection. The HIV-1 envelope proteins (gp160, gp120, gp41) have been shown to be the major antigens for anti-HIV antibodies present in AIDS patients (Barin, et al., Science 228:1094-1096 (1985)). Thus far, these proteins seem to be the most promising candidates to act as antigens for anti-HIV vaccine development. To this end, several groups have begun to use various portions of gp160, gp120, and/or gp41 as immunogenic targets for the host immune system. However, prior art attempts have thus far met with minimal success.

Thus, although a great deal of effort is being directed to the design and testing of HIV vaccines, an effective vaccine is needed.

## Summary of the Invention

An objective of the present invention is the induction and/or characterization of a humoral immune response targeting "entry-relevant" gp4l structures. In its broadest aspect, the present invention is directed to methods of raising a neutralizing antibody response to a broad spectrum of HIV strains and isolates. The present invention targets particular molecular conformations or structures that occur, or are exposed, following interaction of HIV with the cell surface during viral entry. Such a humoral response can be generated *in vivo* as a prophylactic or therapeutic measure in individuals to reduce or inhibit the ability of HIV to infect uninfected cells in the individual's body. Such a response can also be employed to raise antibodies against "entry relevant" gp41 structures. These antibodies can be subsequently employed for therapeutic uses, and as tools for further illuminating the mechanism of HIV cell entry.

One aspect of the present invention relates to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a peptide or polypeptide comprising an amino acid sequence that is capable of forming a stable coiled-coil solution structure corresponding to or mimicking the heptad repeat region of gp41, (or the N-helical domain of gp41). Peptides of this aspect of the invention are exemplified by P-15 and P-17 described herein.

A second aspect of the present invention relates to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a peptide or polypeptide comprising an amino acid sequence that corresponds to, or mimics, the transmembrane-proximal amphipathic  $\alpha$ -helical segment of gp41 (at the C-helical domain of gp41), or a portion thereof. Peptides of this aspect of the invention are exemplified by P-16 and P-18 described herein.

A third aspect of the present invention relates to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a composition including one or more peptides or polypeptides which comprise amino acid sequences that are capable of forming solution stable structures that correspond to, or mimic, the gp41 core six helix bundle. This bundle forms in gp41 by the interaction of the distal regions (N-helical domain and C-helical domain) of the transmembrane protein. See FIG. 1. This aspect of the invention is also directed to novel mixtures of peptides and polypeptides, including multimeric and conjugate structures, wherein said mixtures and structures form a stable core helix solution structure. A preferred embodiment of this aspect of the invention involves raising antibodies to a physical mixture of N-helical domain peptide and C-helical domain peptide, for example, P-17 and P-18, P-15 and P-16, P-17 and P-16, or P-15 and P-18.

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The present invention is also directed to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a composition including one or more novel peptides and proteins, herein referred to as conjugates, that mimic fusion-active transmembrane protein structures. These conjugates are formed from two or more amino acid sequences that comprise:

(a) one or more amino acid sequences that are capable of forming a stable coiled-coil solution structure corresponding to or mimicking the heptad repeat region of gp41 (N-helical domain); and

(b) one or more amino acid sequences that correspond to, or mimic, an amino acid sequence of the transmembrane-proximal amphipathic  $\alpha$ -helical segment of gp41 (C-helical domain);

#### wherein

said one or more sequences (a) and (b) are alternately linked to one another via a bond, such as a peptide bond (amide linkage) or by an amino acid linking sequence consisting of about 2 to about 25 amino acids. These conjugates are preferably recombinantly produced. An example of such a conjugate is described in Example 5.

In a preferred embodiment of this aspect of the invention, one or more of these conjugates folds and assembles in solution into a structure corresponding to, or mimicking, the gp41 core six helix bundle.

The present invention also relates to methods for forming peptides, multimers and conjugates of the invention.

The present invention also relates to pharmaceutical compositions comprising the peptides, multimers and conjugates of the invention and a pharmaceutical acceptable carrier.

The present invention also relates to polyclonal and monoclonal antibodies that are raised to the peptides, multimers and conjugates described in the preceding paragraphs.

The present invention also relates to a method of administering a composition comprising polyclonal or monoclonal antibodies described above to an individual in an amount effective to reduce HIV infection of uninfected cells.

The present invention also relates to a vaccine for providing a protective response in an animal comprising one or more peptides, multimers or conjugates of the present invention together with a pharmaceutically acceptable diluent, carrier, or excipient, wherein the vaccine may be administered in an amount effective to elicit an immune response in an animal to HIV. In a preferred embodiment, the animal is a mammal. In another preferred embodiment, the mammal is a human.

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## Brief Description of the Figures

FIG. 1 illustrates the structural and antigenic regions of HIV-1 gp41. The extracellular, transmembrane and cytoplasmic domains are shown, as are the transmembrane-proximal amphipathic  $\alpha$ -helical segment of gp41 (C-helical domain) and the heptad repeat region of gp41 (N-helical domain).

FIG. 2 illustrates the formation of multimeric peptide constructs corresponding to the heptad repeat region of gp41 (represented by P-17) and one or more suitable linker peptides.

FIG. 3 illustrates the construction of conjugates of the invention derived from repeating gp 41 fragments; and their subsequent folding and interaction to form immunologically relevant epitopes.

FIG. 4 depicts the analysis of polyclonal sera to various immunogens by surface immunoprecipitation. The precipitations were performed in the presence (+) or absence (-) of 10 μg/ml sCD4.

FIG. 5 depicts analysis of polyclonal sera to various immunogens in neutralization assays. Immune sera or pre-immune (prebleed) sera were diluted 1:10 and incubated with various concentrations of virus (indicated in numbers of tissue culture infectious doses - TCID50). Levels of virus replication were measured by the amount of p24 in the supernatant seven days following infection, and normalized to the degree of replication in the absence of any rabbit serum. The positive (+ve) control used is a strongly neutralizing serum from an HIV-1 infected individual.

FIG. 6: Percent neutralization for gp233 and gp234 sera in different experimental formats. FIG. 6a shows the titration of bleed 2 for each animal against HIV-1<sub>MN</sub> in the cell killing assay which uses cell viability as a measure of virus neutralization. MT2 cells are added to a mixture of virus (sufficient to result in greater than 80% cell death at 5 days post infection) and sera which had been allowed to incubate for approximately 1 hr. After 5 days in culture, cell viability is measured by vital dye metabolism. FIG. 6b shows the percent neutralization for each bleed at a 1:10 dilution against HIV-1<sub>MN</sub> in an assay format employing CEM targets and p24 endpoint. In this assay, sera are incubated with 200

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TCID<sub>50</sub> of virus for 1 hr prior to the addition of cells. On days 1, 3, and 5 media are changed. On day 7 culture supernatants are collected and analyzed for virus replication by p24 antigen levels. In each assay format, percent neutralization is determined by comparison of experimental wells with cell and cell/virus controls.

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FIG. 7 provides an example of a construct of the present invention (SEQ. ID NO:75) along with the corresponding nucleic acid sequence used for recombinant expression of the construct (SEQ. ID NO:76).

## Detailed Description of the Preferred Embodiments

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The transitory-nature of the HIV-entry event, and the structures associated with it, account for the seeming lack of neutralizing epitopes within gp4l. These structural components, which form and function only during virus entry, and remain unexposed or are not present in the "native" fusion-inactive envelope complex, constitute a novel set of neutralizing epitopes within gp41. The present invention involves immunization with constructs mimicking these highly conserved, gp4l structures involved in virus entry to elicit the production of broadly neutralizing antibodies targeting these structures. Thus, this invention is the induction of a humoral immune response targeting these "entry relevant" gp4l structures.

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One aspect of the present invention relates to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a peptide or polypeptide comprising an amino acid sequence that is capable of forming a stable coiled-coil solution structure corresponding to or mimicking the heptad repeat region of gp41 which is located in the N-helical domain as defined herein. Peptides, or multimers thereof, that comprise amino acid sequences which correspond to or mimic solution conformation of the heptad repeat region of gp41 can be employed in this aspect of the invention. The heptad repeat region of gp41 includes 4 heptad repeats. Preferably, the peptides comprise

about 28 to 55 amino acids of the heptad repeat region of the extracellular domain of HIV

gp41 (N-helical domain, (SEQ. ID NO:1)), or multimers thereof. The peptides can be

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administered as a small peptide, or conjugated to a larger carrier protein such as keyhole limpet hemocyanin (KLH), ovalbumin, bovine serum albumin (BSA) or tetanus toxoid.

Alternatively, peptides forming a stable coiled-coil solution structure corresponding to or mimicking the heptad repeat region of gp41 can be employed to form polyclonal or monoclonal antibodies that can be subsequently administered as therapeutic or prophylactic agents.

To determine whether a particular peptide or multimer will possess a stable trimeric coiled-coil solution structure corresponding to or mimicking the heptad repeat region of gp41, the peptide can be tested according to the methods described in Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992), fully incorporated by reference herein.

Shown below is the sequence for residues of the HIV-1<sub>LAI</sub> gp41 protein that form the N-helical domain of the protein:

ARQLLSGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYLKDQQLLGI (SEQ. ID NO:1)

Two examples of useful peptides include the peptide P-17, which has the formula, from amino terminus to carboxy terminus, of:

NH₂-NNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYLKDQ-COOH
(SEQ ID NO:2);

and the peptide P-15, which has the formula, from amino terminus to carboxy terminus, of:

## $NH_2\text{-}SGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARIL\text{-}COOH$

(SEQ ID NO:3).

These peptides are optionally coupled to a larger carrier protein, or optionally include a terminal protecting group at the N- and/or C- termini. Useful peptides further include peptides corresponding to P-17 or P-15 that include one or more, preferably 1 to 10 conservative substitutions, as described below. A number of additional useful N-helical region peptides are described in the section entitled "Peptides."

A second aspect of the present invention relates to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a peptide or polypeptide comprising an amino acid sequence that corresponds to, or mimics, the

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transmembrane-proximal amphipathic α-helical segment of gp41 (C-helical domain, (SEQ ID NO:4)), or a portion thereof. Useful peptides or polypeptides include an amino acid sequence that is capable of forming a core six helix bundle when mixed with a peptide corresponding to the heptad repeat region of gp41, such as the peptide P-17. Peptides can be tested for the ability to form a core six helix bundle employing the system and conditions described in Chan, D. C., et al, Cell 89:263-273 (1997); Lu, M., et al., Nature Struct. Biol. 2:1075-1082 (1995), fully incorporated by reference herein.

Shown below is the amino acid sequence for residues of the HIV- $1_{LAI}$  gp41 protein that form the C-helical domain of the protein:

WNNMTWMEWDREINNYTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF NITNW (SEQ ID NO:4)

Preferred peptides or multimers thereof, that can be employed in this aspect of the invention comprise about 6 or more amino acids, preferably about 24-56 amino acids, of the extracellular C-helical domain of HIV gp41. The peptides can be administered as a small peptide, or conjugated to a larger carrier protein such as keyhole limpet hemocyanin (KLH), ovalbumin, bovine serum albumin (BSA) or tetanus toxoid. This transmembrane-proximal amphipathic  $\alpha$ -helical segment is exemplified by the peptides P-16 and P-18, described below.

Alternatively, peptides or polypeptides comprising amino acid sequences that correspond to, or mimic, the transmembrane-proximal amphipathic  $\alpha$ -helical segment of gp41, or a portion thereof, can be employed to form polyclonal or monoclonal antibodies as therapeutic or prophylactic agents.

Examples of useful peptides for this aspect of the invention include the peptide P-18 which corresponds to a portion of the transmembrane protein gp41 from the HIV-1<sub>LAI</sub> isolate, and has the 36 amino acid sequence (reading from amino to carboxy terminus):

NH2-YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF-COOH

(SEQ ID NO:5);

and the peptide P-16, which has the following amino acid sequence (reading from amino to carboxy terminus):

NH2-WMEWDREINNYTSLIHSLIEESQNQQEKNEQELL-COOH

(SEQ ID NO:6)

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These peptides are optionally coupled to a larger carrier protein. Useful peptides further include peptides corresponding to P-18 or P-16 that include one or more, preferably 1 to 10 conservative substitutions, as described below. In addition to the full-length P-18, 36-mer and the full length P-16, the peptides of this aspect of the invention may include truncations of the P-18 and P-16, as long as the truncations is capable of forming a six helix bundle when mixed with P-17. A number of other useful peptides are described in the section entitled "Peptides," below.

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A third aspect of the present invention relates to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a composition including one or more peptides or polypeptides which comprise amino acid sequences that are capable of forming solution stable structures that correspond to, or mimic, the gp41 core six helix bundle. This bundle forms in gp41 by the interaction of the distal regions of the transmembrane protein, the heptad repeat region and the amphipathic  $\alpha$ -helical region segment roughly corresponding to the N-helical domain and C-helical domain. See FIG. 1. The bundle structures that form in native virus are the result of a trimeric interaction between three copies each of the heptad repeat region and the transmembrane-proximal amphipathic  $\alpha$ -helical segment. In the compositions of the present invention, peptide regions interact with one another to form a core six helix bundle. This aspect of the invention is also directed to novel mixtures of peptides and polypeptides, including multimeric and conjugate structures, wherein said structures form a stable core helix solution structure.

This aspect of the invention can employ mixtures of (a) one or more peptides that comprise an amino acid sequence that corresponds to, or mimics, a stable coiled coil heptad repeat region of gp41; and (b) one or more peptides that comprise a region that corresponds to, or mimics, the transmembrane-proximal amphipathic  $\alpha$ -helical segment of gp41. These mixtures are optionally chemically or oxidatively cross-linked to provide additional immunogenic structures that may or may not be solution stable. In addition to physical mixtures, and conventional cross-linking, the peptides (a) and (b) can be conjugated together via suitable linking groups, preferably a peptide residue having at least 2, preferably 2 to 25, amino acid residues. Preferred linking groups are formed from

combinations of glycine and serine, or combinations of glycine and cysteine when further oxidative cross-linking is envisioned.

A preferred embodiment of this aspect of the invention involves raising antibodies to physical mixtures of P-17 and P-18, P-15 and P-16, P-17 and P-16 or P-15 and P-18.

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The present invention is also directed to a method of raising a broadly neutralizing antibody response to HIV by administering to a mammal a composition including one or more novel peptides and proteins, herein referred to as conjugates, that mimic fusionactive transmembrane protein structures. These conjugates are formed from peptides and proteins that comprise:

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- (a) one or more amino acid sequences of 28 or more amino acids that are capable of forming a stable coiled-coil solution structure corresponding to or mimicking the heptad repeat region of gp41; and
- (b) one or more amino acid sequences that correspond to, or mimic, an amino acid sequence of the transmembrane-proximal amphipathic  $\alpha$ -helical segment of gp41;

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said one or more sequences (a) and (b) are alternately linked to one another via a peptide bond (amide linkage) or by an amino acid linking sequence consisting of about 2 to about 25 amino acids. These peptides and proteins are preferably recombinantly produced.

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In a preferred embodiment of this aspect of the invention, one or more of these conjugates folds and assembles into a structure corresponding to, or mimicking, the gp41 core six helix bundle.

Non-limiting examples of the novel constructs or conjugates that can be formed include:

- (1) three tandem repeating units consisting of P-17-linker-P-18 (P-17-linker-P-18-linker-P-17-linker-P-18-linker-P-18),
  - (2) P-17-linker-P-18-linker-P-17,
  - (3) P-18-linker-P-17-linker-P-18,
  - (4) P-17-linker-P-17,

- (5) three tandem repeating units consisting of P-15-linker-P-16 (P-15-linker-P-16-linker-P-15-linker-P-15-linker-P-16),
  - (6) P-15-linker-P-16-linker-P-15,
  - (7) P-16-linker-P-15-linker-P-16, and
- 5 (8) P-16-linker-P-15;

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wherein each linker is an amino acid sequence, which may be the same or different, of from about 2 to about 25, preferably 2 to about 16 amino acid residues. Preferred amino acid residues include glycine and serine, for example (GGGGS)<sub>x</sub>, (SEQID NO:7) wherein x is 1, 2, 3, 4, or 5, or glycine and cysteine, for example (GGC)y, where y is 1, 2, 3 4 or 5. In any of the described constructs, P-15 and P-17 are interchangeable and P-16 and P-18 are interchangeable. An example of such a construct (SEQ ID NO:77) is shown in FIG. 7, along with the corresponding nucleic acid sequence (SEQ ID NO:78) used for recombinant expression of the construct.

Alternatively, polyclonal or monoclonal antibodies can be raised against the immunogenic mixtures and conjugates described in this aspect of the invention. Such antibodies can be employed as therapeutic or prophylactic agents.

In preferred aspects of the invention, the methods can be employed to immunize an HIV-1-infected individual such that levels of HIV-1 will be reduced in such individual. In another aspect, the methods can be employed to immunize a non-HIV-1-infected individual so that, following a subsequent exposure to HIV-1 that would normally result in HIV-1 infection, the levels of HIV-1 will be non-detectable using current diagnostic tests.

#### Immunogen Preparation

Induction and interpretation of a humoral immune response directed against gp41 structural epitopes requires both immunogen preparation and antibody characterization. Synthetic peptides and recombinant proteins can both be used to generate antigenic structures corresponding to gp41 fusion active domains.

In one aspect of the invention, target immunogens model the heptad repeat region delineated by the P-17 peptide (capable of forming a trimeric coiled-coil structure). In another aspect of the invention, target immunogens model the transmembrane-proximal amphipathic α-helical segment delineated by the P-18 peptide. This region in the absence of the coiled-coil core exhibits random coil solution structure. (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992); Wild, C., et al., AIDS Res. Hum. Retroviruses 9:1051-1053 (1993); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:9770-9774 (1994)). In another aspect, combinations of these target immunogens are employed for raising antibodies.

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In another aspect of the invention the target immunogen is the six helix hydrophobic bundle. This bundle is formed by the specific association of these two distal regions of the ectodomain of gp41 (Chan, D. C., et al, Cell 89:263-273 (1997); Lu, M., et al., Nature Struct. Biol. 2:1075-1082 (1995)). These constructs will mimic entry determinants which form and function during HIV-1 entry.

#### Synthetic Methods of Immunogen Preparation

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Immunogens can be prepared by several different routes. The constructs can be generated from synthetic peptides. This involves preparing each sequence as a peptide monomer followed by post-synthetic modifications to generate the appropriate oligomeric structures. The peptides are synthesized by standard solid-phase methodology. To generate a trimeric coiled-coil structure, the P-17 peptide monomer is solubilized under conditions which favor oligomerization. These conditions include a 20 mM phosphate buffer, pH 4.5 and a peptide concentration of 100 µM (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992)). The structure which forms under these conditions can be optionally stabilized by chemical crosslinking, for example using gluteraldehyde.

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Alternatively, a protocol which makes use of intermolecular disulfide bond formation to stabilize the trimeric coiled-coil structure can be employed in order to avoid any disruptive effect the cross-linking process might have on the structural components of this construct. This approach uses the oxidation of appropriately positioned cysteine

residues within the peptide sequence to stabilize the oligomeric structure. This requires the addition of a short linker sequence to the N terminus of the P-17 peptide. The trimeric coiled-coil structure which is formed by this approach will be stabilized by the interaction of the cysteine residues (FIG. 2). The trimer is separated from higher order oligomeric forms, as well as residual monomer, by size exclusion chromatography and characterized by analytical ultracentrifugation. These covalently stabilized coiled-coil oligomers serve as the core structure for preparation of a six helix bundle.

To accomplish preparation of a six helix bundle, an excess of P-18 peptide is added to the purified core structure. After incubation the reaction mixture is subjected to a cross-linking procedure to stabilize the higher order products of the specific association of these two peptides. The desired material is isolated by size exclusion chromatography and characterized by analytical ultracentrifugation. The immunogen corresponding only to the P-18 peptide requires no specific post-synthetic modifications. Using this approach, three separate target constructs are generated rapidly and in large amounts.

#### Recombinant Methods of Immunogen Preparation

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Another method for preparing target immunogens involves the use of a bacterial expression vector to generate recombinant gp41 fragments. The use of an expression vector to produce the peptides and polypeptides capable of forming the entry-relevant immunogens of the present invention adds a level of versatility to immunogen preparation.

New and modified forms of the antigenic targets are contemplated as the structural determinants of HIV-1 entry are better understood. The recombinant approach readily accommodates these changes. Also, this method of preparation allows for the ready modification of the various constructs (i.e. the addition of T- or B-cell epitopes to the recombinant gp41 fragments to increase immunogenicity). In addition, a form of the six helix hydrophobic core structure is generated which will not require additional stabilization, since determining the antigenic nature of this structure is important. Finally, these recombinant constructs can be employed as a tool to provide valuable insights into

additional structural components which form and function in gp41 during the process of virus entry.

Thus, as part of the invention, novel fusion polypeptides (conjugates) are also provided, as are vectors, host cells and recombinant methods for producing the same. The present invention provides isolated nucleic acid molecules comprising a polynucleotide encoding the conjugates of the invention.

The present invention also relates to recombinant vectors, which include the isolated nucleic acid molecules of the present invention, and to host cells containing the recombinant vectors, as well as to methods of making such vectors and host cells and for using them for production of fusion polypeptides or peptides by recombinant techniques.

The polynucleotides may be joined to a vector containing a selectable marker for propagation in a host. Generally, a plasmid vector is introduced in a precipitate, such as a calcium phosphate precipitate, or in a complex with a charged lipid. If the vector is a virus, it may be packaged *in vitro* using an appropriate packaging cell line and then transduced into host cells.

The DNA insert should be operatively linked to an appropriate promoter, such as that described herein. Other suitable promoters will be known to the skilled artisan. The expression constructs will further contain sites for transcription initiation, termination and, in the transcribed region, a ribosome binding site for translation. The coding portion of the mature transcripts expressed by the constructs will preferably include a translation initiating at the beginning and a termination codon (UAA, UGA or UAG) appropriately positioned at the end of the polypeptide to be translated.

As indicated, the expression vectors will preferably include at least one selectable marker. Such markers include dihydrofolate reductase or neomycin resistance for eukaryotic cell culture and tetracycline or ampicillin resistance genes for culturing in *E. coli* and other bacteria. Representative examples of appropriate hosts include, but are not limited to, bacterial cells, such as *E. coli*, *Streptomyces* and *Salmonella typhimurium* cells; fungal cells, such as yeast cells; insect cells such as *Drosophila* S2 and *Spodoptera* Sf9 cells; animal cells such as CHO, COS and Bowes melanoma cells; and plant cells.

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Appropriate culture mediums and conditions for the above-described host cells are known in the art.

Introduction of the construct into the host cell can be effected by calcium phosphate transfection, DEAE-dextran mediated transfection, cationic lipid-mediated transfection, electroporation, transduction, infection or other methods. Such methods are described in many standard laboratory manuals, such as Davis et al., Basic Methods In Molecular Biology (1986).

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The polypeptide may be expressed in a modified form, such as a fusion protein, and may include not only secretion signals, but also additional heterologous functional regions. For instance, a region of additional amino acids, particularly charged amino acids, may be added to the N-terminus of the polypeptide to improve stability and persistence in the host cell, during purification, or during subsequent handling and storage. Also, peptide moieties may be added to the polypeptide to facilitate purification. Such regions may be removed prior to final preparation of the polypeptide. The addition of peptide moieties to polypeptides to engender secretion or excretion, to improve stability and to facilitate purification, among others, are familiar and routine techniques in the art.

The fusion protein can be recovered and purified from recombinant cell cultures by well-known methods including ammonium sulfate or ethanol precipitation, acid extraction, anion or cation exchange chromatography, phosphocellulose chromatography, hydrophobic interaction chromatography, affinity chromatography, hydroxylapatite chromatography and lectin chromatography. Most preferably, high performance liquid chromatography ("HPLC") is employed for purification. Depending upon the host employed in a recombinant production procedure, the polypeptides of the present invention may be glycosylated or may be non-glycosylated. In addition, polypeptides of the invention may also include an initial modified methionine residue, in some cases as a result of host-mediated processes.

A bacterial expression vector (kindly provided by Dr. Terrance Oas, Duke University) was developed specifically for the expression of small proteins. This plasmid, pTCLE-G2C, is based on pAED-4, a T7 expression vector. A modified TrpLE (Yansura, D. G., *Methods Enzymol. 185*:161-166 (1990)) fusion peptide (provided by Dr. Peter

Kim) was inserted after the T7 promoter (Studier, F. W., et al., Methods Enzymol. 185:60-89 (1990)). There is an in frame Nde I site at the end of the TrpLE peptide that encodes a methionine cyanogen bromide (CNBr) cleavage site. This vector was used in an earlier study to express a recombinant form of the P-17 peptide (Calderone, T. L., et al., J. Mol. Biol. 262:407-412 (1996)) and has been modified to expresses the P-18 peptide.

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To generate a six helix hydrophobic core structure, several combinations of the heptad repeat (for example, P-17 or P-15) region and the amphipathic α-helical (for example, P-16 or P-18) segment of gp41 are separated by a flexible linker of amino acid residues. For example, (GGGGS)<sub>3</sub> (SEQ ID NO:7) can be encoded into the vector. This is accomplished by standard PCR methods. The (GGGGS)<sub>3</sub> (SEQ ID NO:7) linker motif is encoded by a synthetic oligonucleotide which is ligated between the P-17 and P-18 encoding regions of the expression vector.

All constructions are characterized by multiple restriction enzyme digests and sequencing. The success of this approach to attain multicomponent interactions has been recently demonstrated (Huang, B., et al., J. Immunol. 158:216-225 (1997)).

Examples of the novel constructs or conjugates that can be formed by the method are described above.

Based on the parallel orientation of the subunits of the coiled coil core and the antiparallel orientation of the amphipathic α-helical segment in the six helix bundle, these constructs fold to generate the desired structures (See, FIG. 3.). Following expression, the recombinant gp41 fragments are isolated as inclusion bodies, cleaved from the leader sequence by cyanogen bromide, and separated from the leader by-product by size exclusion chromatography step (SUPERDEX 75). This protocol has been successfully used in the purification of large quantities of a modified form of the P-17 peptide (Calderone, T. L., et al., J. Mol. Biol. 262:407-412 (1996)). Recombinant constructs (2) and (3) are mixed in equalmolar quantities under non-denaturing conditions to generate a six-helix hydrophobic core structure. Constructs (1) and (4) will fold either intra- or intermolecularly to generate the same or similar structures (see FIG. 3 for the folding process). The desired product is purified by size exclusion chromatography on a

SUPERDEX 75 FPLC column and characterized by molecular weight under using a Beckman Model XL-A analytical ultracentrifuge.

### **Definitions**

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The phrase "entry-relevant" as employed herein, refers to particular molecular conformations or structures that occur or are exposed following interaction of HIV with the cell surface during viral entry, and the role of particular amino acid sequences and molecular conformations or structures in viral entry.

The term "neutralizing" as employed herein refers to the ability to inhibit entry of HIV into cells, including an amount of inhibition that is useful for reducing or preventing infection of uninfected cells by the virus.

The term "HIV" as used herein refers to all strains and isolates of human immunodeficiency virus type 1. The constructs of the invention were based upon HIV-1 gp41, and the numbering of amino acids in HIV proteins and fragments thereof given herein is with respect to the HIV-1<sub>LAI</sub> isolate. However, it is to be understood, that while HIV-1 viral infection and the effects of the present invention on such HIV-1 infection are being used herein as a model system, the entry mechanism that is being targeted is relevant to all strains and isolates of HIV-1. Hence the invention is directed to "broadly neutralizing" methods.

The phrase "heptad repeat" or "heptad repeat region" as employed herein, refers to a common protein motif having a 4-3 repeat of amino acids, commonly leucine and/or isoleucine, and is often associated with alpha-helical secondary structure. The 'heptad repeat" can be represented by the following sequence:

$$-AA_1-AA_2-AA_3-AA_4-AA_5-AA_6-AA_7-$$

where AA<sub>1</sub> and AA<sub>4</sub> are each one of leucine or isoleucine; while AA<sub>2</sub>, AA<sub>3</sub>, AA<sub>5</sub>, AA<sub>6</sub>, and AA<sub>7</sub> can be any amino acid. See, Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992).

Peptides are defined herein as organic compounds comprising two or more amino acids covalently joined by peptide bonds. Peptides may be referred to with respect to the number of constituent amino acids, i.e., a dipeptide contains two amino acid residues, a tripeptide contains three, etc. Peptides containing ten or fewer amino acids may be referred to as oligopeptides, while those with more than ten amino acid residues are polypeptides.

### Peptides

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The complete gp41 amino acid sequence (HIV-1 Group M: Subtype B Isolate: LAI, N to C termini) is:

- AVGIGALFLGFLGAAGSTMGARSMTLTVQARQLLSGIVQQQNNLLRAIEA
  QQHLLQLTVWGIKQLQARILAVERYLKDQQLLGIWGCSGKLICTTAVPWNAS
  WSNKSLEQIWNNMTWMEWDREINNYTSLIHSLIEESQNQQEK
  NEQELLELDKWASLWNWFNITNWLWYIKIFIMIVGGLVGLRIVFAVLSIV
  NRVRQGYSPLSFQTHLP-TPRG-PDRPEGIEEEGGERDRDRSIRLVNGSL
- 15 ALIWDDLRSLCLFSYHRLRDLLLIVTRIVELLGRRGWEALKYWW

  NLLQYWSQELKNSAVSLLNATAIAVAEGTDRVIEVVQGACRAIRHIPRRIRQG

  LERILL. (SEQ ID NO:8)

N-terminal helix region:

ARQLLSGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYLKDQQLLGI (SEQ ID NO:1)

Shown below is the sequence for residues 558-595 (SEQ ID NO:7) of the HIV-1<sub>LAI</sub> gp41 protein in the N-helical domain of the protein. The a and d subscripts denote the 4-3 positions of the heptad repeat.

NNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYLKDQ
dadadadada
571 578 585 (SEQ ID NO:2)

C-terminal helix region:

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WNNMTWMEWDREINNYTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF NITNW (SEQ ID NO:4)

Shown below is the amino acid sequence for residues 643-678 of the HIV-1<sub>LAI</sub> gp41 protein in the C-helical domain of the protein.

YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF
d a d a d a d a
647 654 661 (SEQID NO:5)

Unlike the N-helix, when modeled as a peptide, the C-helical region of gp41 is not structured. However, when mixed with the N-peptide, the C-peptide does takes on α-helical structure as part of the core structure complex. The structure forms *in vitro* on mixing the peptides and can be characterized spectrophotometrically (Lu, M., *et al.*, *Nat. Struct. Biol.* 2:1075-1082 (1995)). The initial determination of the effect of the mutations on C-helix structure may be performed by analyzing the ability of the mutant C-peptide to interact with the N-peptide and form the six-helix bundle. This analysis may be carried out using circular dichroism. N-helical and C-helical domain peptides can be constructed from multiple strains of HIV, and can include deletions, insertions and substitutions that do not destroy the ability of the resulting peptide to elicit antibodies when employed alone or in combination with other peptides of the invention.

Examples of N-helical Domain Peptide Sequences (All sequences are listed from N-terminus to C-terminus.) from different HIV strains include, but are not limited to the following peptides:

HIV-1 Group M: Subtype B Isolate: LAI

ARQLLSGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYLKDQQLLGI

(SEQ ID NO:1)

	SGIVQQNNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYLKDQ	
		(SEQ ID NO:9)
	P15 SGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARIL	(SEQ ID NO:3)
	P-17 NNLLRAIEAQQHLLQLTVWGIKQLQARILAVERYI	LKDQ
5		(SEQ ID NO:2)
	Subtype B Isolate: ADA	
	SGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVLALERY	LRDQ
		(SEQ ID NO:10)
10	SGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVL	(SEQ ID NO:11)
	NNLLRAIEAQQHLLQLTVWGIKQLQARVLALERYLRDQ	(SEQ ID NO:12)
	Subtype B Isolate: JRFL	
	SGIVQQQNNLLRAIEAQQRMLQLTVWGIKQLQARVLAVERY	NGDQ
		(SEQ ID NO:13)
15	SGIVQQQNNLLRAIEAQQRMLQLTVWGIKQLQARVL	(SEQ ID NO:14)
	NNLLRAIEAQQRMLQLTVWGIKQLQARVLAVERYLGDQ	(SEQ ID NO:15)
	•	
	Subtype B Isolate: 89.6	
	SGIVQQQNNLLRAIEAQQHMLQLTVWGIKQLQARVLALERY	/LRDQ
		(SEQ ID NO:16)
20	SGIVQQQNNLLRAIEAQQHMLQLTVWGIKQLQARVL	(SEQ ID NO:17)
	NNLLRAIEAQQHMLQLTVWGIKQLQARVLALERYLRDQ	(SEQ ID NO:18)
	Subtype C Isolate: BU910812	
	SGIVQQQSNLLRAIEAQQHMLQLTVWGIKQLQARVLAIERYI	LRDQ
		(SEQ ID NO:19)
25	SGIVQQQSNLLRAIEAQQHMLQLTVWGIKQLQARVL	(SEQ ID NO:20)
	SNLLRAIEAQQHMLQLTVWGIKQLQARVLAIERYLRDQ	(SEQ ID NO:21)

	Subtype D Isolate: 92UG024D	
	SGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVLAVESYI	LKDQ
		(SEQ ID NO:22)
	SGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVL	(SEQ ID NO:11)
5	NNLLRAIEAQQHLLQLTVWGIKQLQARVLAVESYLKDQ	(SEQ ID NO:23)
	Subtype F Isolate: BZ163A	
	SGIVQQQSNLLRAIEAQQHLLQLTVWGIKQLQARVLAVERYI	LQDQ
	•	(SEQ ID NO:24)
	SGIVQQQSNLLRAIEAQQHLLQLTVWGIKQLQARVL	(SEQ ID NO:25)
10	SNLLRAIEAQQHLLQLTVWGIKQLQARVLAVERYLQDQ	(SEQ ID NO:26)
	Subtype G Isolate: FI.HH8793	
	SGIVQQQSNLLRAIEAQQHLLQLTVWGIKQLQARVLALERYI	LRDQ
	·	(SEQ ID NO:27)
	SGIVQQQSNLLRAIEAQQHLLQLTVWGIKQLQARVL	(SEQ ID NO:25)
15	SNLLRAIEAQQHLLQLTVWGIKQLQARVLALERYLRDQ	(SEQ ID NO:28)
	Subtype H Isolate: BE.VI997	
	SGIVQQQSNLLRAIQAQQHMLQLTVWGVKQLQARVLAVER	YLKDQ
		(SEQ ID NO:29)
	SGIVQQQSNLLRAIQAQQHMLQLTVWGVKQLQARVL	(SEQ ID NO:30)
20	SNLLRAIQAQQHMLQLTVWGVKQLQARVLAVERYLKDQ	(SEQ ID NO:31)
	Subtype J Isolate: SE.SE92809	
	SGIVQQQSNLLKAIEAQQHLLKLTVWGIKQLQARVLAVERY	LKDQ
		(SEQ ID NO:32)
	SGIVQQQSNLLKAIEAQQHLLKLTVWGIKQLQARVL	(SEQ ID NO:33)
25	SNLLKAIEAQQHLLKLTVWGIKQLQARVLAVERYLKDQ	(SEQ ID NO:34)

Group N Isolate: CM.YBF30 SGIVQQQNILLRAIEAQQHLLQLSIWGIKQLQAKVLAIERYLRDQ (SEQ ID NO:35) SGIVQQQNILLRAIEAQQHLLQLSIWGIKQLQAKVL (SEQ ID NO:36) 5 NILLRAIEAQQHLLQLSIWGIKQLQAKVLAIERYLRDQ (SEQ ID NO:37) Group O Isolate: CM.ANT70C KGIVQQQDNLLRAIQAQQQLLRLSxWGIRQLRARLLALETLLQNQ (SEQ ID NO:38) KGIVQQQDNLLRAIQAQQQLLRLSxWGIRQLRARL (SEQ ID NO:39) DNLLRAIQAQQQLLRLSxWGIRQLRARLLALETLLQNQ (SEQ ID NO:40) 10 Examples of C-helical Domain Peptide Sequences (All sequences are listed from N-terminus to C-terminus.) from different HIV strains include, but are not limited to the following peptides: HIV-1 Group M: Subtype B Isolate: LAI 15 WNNMTWMEWDREINNYTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF **NITNW** (SEQ ID NO:4) WMEWDREINNYTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF (SEQ ID NO:41) P16 WMEWDREINNYTSLIHSLIEESQNQQEKNEQELL (SEQ ID NO:6) YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF 20 P-18 (SEQ ID NO:5) Subtype B Isolate: ADA WMEWEREIENYTGLIYTLIEESQNQQEKNEQDLLALDKWASLWNWF (SEQ ID NO:42)

WMEWEREIENYTGLIYTLIEESQNQQEKNEQDLL

YTGLIYTLIEESQNQQEKNEQDLLALDKWASLWNWF

(SEQ ID NO:43) (SEQ ID NO:44)

	Subtype B Isolate: JRFL	
•	WMEWEREIDNYTSEIYTLIEESQNQQEKNEQELLELDKWASL	WNWF
		(SEQ ID NO:45)
	WMEWEREIDNYTSEIYTLIEESQNQQEKNEQELL	(SEQ ID NO:46)
5	YTSEIYTLIEESQNQQEKNEQELLELDKWASLWNWF	(SEQ ID NO:47)
	Subtype B Isolate: 89.6	
	WMEWEREIDNYTDYIYDLLEKSQTQQEKNEKELLELDKWAS	SLWNWF
	•	(SEQ ID NO:48)
	WMEWEREIDNYTDYIYDLLEKSQTQQEKNEKELL	(SEQ ID NO:49)
10	YTDYIYDLLEKSQTQQEKNEKELLELDKWASLWNWF	(SEQ ID NO:50)
	Subtype C Isolate: BU910812	
	WIQWDREISNYTGIIYRLLEESQNQQENNEKDLLALDKWQNI	LWSWF
		(SEQ ID NO:51)
	WIQWDREISNYTGIIYRLLEESQNQQENNEKDLL	(SEQ ID NO:52)
15	YTGIIYRLLEESQNQQENNEKDLLALDKWQNLWSWF	(SEQ ID NO:53)
	Subtype D Isolate: 92UG024D	
	WMEWEREISNYTGLIYDLIEESQIQQEKNEKDLLELDKWASL	
		(SEQ ID NO:54)
	WMEWEREISNYTGLIYDLIEESQIQQEKNEKDLL	(SEQ ID NO:55)
20	YTGLIYDLIEESQIQQEKNEKDLLELDKWASLWNWF	(SEQ ID NO:56)
	Subtype F Isolate: BZ163A	
	WMEWQKEISNYSNEVYRLIEKSQNQQEKNEQGLLALDKWA	
		(SEQ ID NO:57)
	WMEWQKEISNYSNEVYRLIEKSQNQQEKNEQGLL	(SEQ ID NO:58)
25	YSNEVYRLIEKSQNQQEKNEQGLLALDKWASLWNWF	(SEQ ID NO:59)

	Subtype G Isolate: FI.HH8793	
	WIQWDREISNYTQQIYSLIEESQNQQEKNEQDLLALDNWAS	LWTWF
		(SEQ ID NO:60)
	WIQWDREISNYTQQIYSLIEESQNQQEKNEQDLL	(SEQ ID NO:61)
5	YTQQIYSLIEESQNQQEKNEQDLLALDNWASLWTWF	(SEQ ID NO:62)
	Subtype H Isolate: BE.VI997	·
	WMEWDRQIDNYTEVIYRLLELSQTQQEQNEQDLLALDKWI	SLWNWF
		(SEQ ID NO:63)
	WMEWDRQIDNYTEVIYRLLELSQTQQEQNEQDLL	(SEQ ID NO:64)
10	YTEVIYRLLELSQTQQEQNEQDLLALDKWDSLWNWF	(SEQ ID NO:65)
	Subtype J Isolate: SE.SE92809	
	WIQWEREINNYTGIIYSLIEEAQNQQENNEKDLLALDKWTN	LWNWFN
		(SEQ ID NO:66)
	WIQWEREINNYTGIIYSLIEEAQNQQENNEKDLL	(SEQ ID NO:67)
15	YTGIIYSLIEEAQNQQENNEKDLLALDKWTNLWNWFN	(SEQ ID NO:68)
	Group N Isolate: CM.YBF30	
	WQQWDEKVRNYSGVIFGLIEQAQEQQNTNEKSLLELDQWI	DSLWSWF
		(SEQ ID NO:69)
	WQQWDEKVRNYSGVIFGLIEQAQEQQNTNEKSLL	(SEQ ID NO:70)
20	YSGVIFGLIEQAQEQQNTNEKSLLELDQWDSLWSWF	(SEQ ID NO:71)
	Group O Isolate: CM.ANT70C	
	WQEWDRQISNISSTIYEEIQKAQVQQEQNEKKLLELDEWAS	IWNWL
		(SEQ ID NO:72)
	WQEWDRQISNISSTIYEEIQKAQVQQEQNEKKLL	(SEQ ID NO:73)
25	ISSTIYEEIQKAQVQQEQNEKKLLELDEWASIWNWL	(SEQ ID NO:74)

The peptides and conjugates of the present invention may be acylated at the NH<sub>2</sub> terminus, and may be amidated at the COOH terminus.

The peptides and conjugates of the invention may include conservative amino acid substitutions. Conserved amino acid substitutions consist of replacing one or more amino acids of the peptide sequence with amino acids of similar charge, size, and/or hydrophobicity characteristics, such as, for example, a glutamic acid (E) to aspartic acid (D) amino acid substitution. When only conserved substitutions are made, the resulting peptide is functionally equivalent to the peptide from which it is derived.

Peptide sequences defined herein are represented by one-letter symbols for amino acid residues as follows:

	Α	alanine	L	leucine
	R	arginine	K	lysine
	N	asparagine	M	methionine
	D	aspartic acid	F	phenylalamine
15	C	cysteine	P	proline
	Q	glutamine	S	serine
	E	glutamic acid	T	threonine
	G	glycine	w	tryptophan
	Н	histidine	Y	tyrosine
20	I	isoleucine	V	valine

The peptides and conjugates of the invention may include amino acid insertions which consist of single amino acid residues or stretches of residues ranging from 2 to 15 amino acids in length. One or more insertions may be introduced into the peptide, peptide fragment, analog and/or homolog.

The peptides and conjugates of the invention may include amino acid deletions of the full length peptide, analog, and/or homolog. Such deletions consist of the removal of one or more amino acids from the full-length peptide sequence, with the lower limit length

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of the resulting peptide sequence being 4 to 6 amino acids. Such deletions may involve a single contiguous portion or greater than one discrete portion of the peptide sequences.

The peptides of the invention may be synthesized or prepared by techniques well known in the art. See, for example, Creighton, *Proteins: Structures and Molecular Principles*, W.H. Freeman & Co., New York, NY (1983), which is incorporated herein by reference in its entirety. Short peptides, for example, can be synthesized as a solid support or in solution. Longer peptides may be made using recombinant DNA techniques. Here, the nucleotide sequences encoding the peptides of the invention may be synthesized, and/or cloned, and expressed according to techniques well known to those of ordinary skill in the art. See, for example, Sambrook, *et al.*, *Molecular Cloning, A Laboratory Manual*, Vols. 1-3, Cold Spring Harbor Press, Cold Spring Harbor, NY (1989).

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In yet another embodiment of the invention, peptides comprising the sequences described above may be synthesized with additional chemical groups present at their amino and/or carboxy termini, such that, for example, the stability, bioavailability, and/or immunogenic activity of the peptides is enhanced. For example, hydrophobic groups such as carbobenzoxy, dansyl, or t-butyloxycarbonyl groups, may be added to the peptides' amino termini. Likewise, an acetyl group or a 9-fluorenylmethoxy-carbonyl group may be placed at the peptides' amino termini. Additionally, the hydrophobic group t-butyloxycarbonyl, or an amido group may be added to the peptides' carboxy termini. In one preferred embodiment, carrier proteins, such as keyhole limpet hemocyanin, ovalbumin, BSA or tetanus toxoid are added to the peptide.

With reference to the peptides P-17 and P-18, deletion mutants are further described.

The peptide P-18 corresponds to amino acid residues 638 to 673 of the transmembrane protein gp41 from the HIV-1<sub>LAI</sub> isolate:

In addition to the full-length C-helical peptides identified above, useful peptides of the invention may include truncations of the C-helical peptides (SEQ ID NO:4) which exhibit the ability to raise neutralizing antibodies or form a six-helix hydrophobic core structure under conditions described herein. Such truncated peptides may comprise peptides of between 3 and 56 amino acid residues, i.e., peptides ranging in size from a tripeptide to a 56-mer polypeptide. As an example, such peptides are listed for P-18 in

Tables I and II, below. Peptide sequences in these tables are listed from amino (left) to carboxy (right) terminus. "X" may represent an amino group (-NH<sub>2</sub>) and "Z" may represent a carboxyl (-COOH) group. Alternatively, as described below, "X" and/or "Z" may represent a hydrophobic group, an acetyl group, a FMOC group, an amido group, or a covalently attached macromolecule.

TABLE I
Carboxy Truncations of SEQ ID NO:5
X-YTS-Z
X-YTSL-Z
X-YTSLI-Z
X-YTSLIH-Z
X-YTSLIHS-Z
X-YTSLIHSL-Z
X-YTSLIHSLI-Z
X-YTSLIHSLIE-Z
X-YTSLIHSLIEE-Z
X-YTSLIHSLIEES-Z
X-YTSLIHSLIEESQ-Z
X-YTSLIHSLIEESQN-Z
X-YTSLIHSLIEESQNQ-Z
X-YTSLIHSLIEESQNQQ-Z
X-YTSLIHSLIEESQNQQE-Z
X-YTSLIHSLIEESQNQQEK-Z
X-YTSLIHSLIEESQNQQEKN-Z
X-YTSLIHSLIEESQNQQEKNE-Z
X-YTSLIHSLIEESQNQQEKNEQ-Z
X-YTSLIHSLIEESQNQQEKNEQE-Z
X-YTSLIHSLIEESQNQQEKNEQEL-Z
X-YTSLIHSLIEESQNQQEKNEQELL-Z
X-YTSLIHSLIEESQNQQEKNEQELLE-Z
X-YTSLIHSLIEESQNQQEKNEQELLEL-Z
X-YTSLIHSLIEESQNQQEKNEQELLELD-Z
X-YTSLIHSLIEESQNQQEKNEQELLELDK-Z
X-YTSLIHSLIEESQNQQEKNEQELLELDKW-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWA-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWAS-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWASL-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWASLW-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWASLWN-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNW-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF-Z

The one letter amino acid code is used.

"X" may represent a hydrogen attached to the terminal amino group, an amino protecting group including, but not limited to, carbobenzoxyl, dansyl, or t-butyloxycarbonyl; an acetyl group; a 9-fluorenylmethoxy-carbonyl (FMOC) group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates.

"Z" may represent a terminal carboxyl (COOH); an amido group; an ester group (COOR) including, but not limited to, a t-butyloxycarbonyl group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates.

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	TABLE II	
	Amino Truncations of SEQ ID NO:5	
	X-NWF-Z	
	X-WNWF-Z	
5	X-LWNWF-Z	
	X-SLWNWF-Z	
	X-ASLWNWF-Z	
	X-WASLWNWF-Z	
	X-KWASLWNWF-Z	
10	X-DKWASLWNWF-Z	
	X-LDKWASLWNWF-Z	
	X-ELDKWASLWNWF-Z	
	X-LELDKWASLWNWF-Z	
	X-LLELDKWASLWNWF-Z	
15	X-ELLELDKWASLWNWF-Z	
	X-QELLELDKWASLWNWF-Z	
	X-EQELLELDKWASLWNWF-Z	
	X-NEQELLELDKWASLWNWF-Z	
	X-KNEQELLELDKWASLWNWF-Z	
20	X-EKNEQELLELDKWASLWNWF-Z	
	X-QEKNEQELLELDKWASLWNWF-Z	
	X-QQEKNEQELLELDKWASLWNWF-Z	
	X-NQQEKNEQELLELDKWASLWNWF-Z	
	X-QNQQEKNEQELLELDKWASLWNWF-Z	
25	X-SQNQQEKNEQELLELDKWASLWNWF-Z	
	X-ESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-EESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-IEESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-LIEESQNQQEKNEQELLELDKWASLWNWF-Z	
30	X-SLIEESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-HSLIEESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-IHSLIEESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-LIHSLIEESQNQQEKNEQELLELDKWASLWNWF-Z	
	X-SLIHSLIEESQNQQEKNEQELLELDKWASLWNWF-Z	

X-TSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF-Z

X-YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF-Z

The one letter amino acid code is used.

"X" may represent a hydrogen attached to the terminal amino group, an amino protecting group including, but not limited to, carbobenzoxyl, dansyl, or t-butyloxycarbonyl; an acetyl group; a 9-fluorenylmethoxy-carbonyl (FMOC) group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates.

"Z" may represent a terminal carboxyl (COOH); an amido group; an ester group (COOR) including, but not limited to, a t-butyloxycarbonyl group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates.

The peptides also include analogs of which may include, but are not limited to, peptides comprising the a full-length or truncated sequence, containing one or more amino acid substitutions, insertions and/or deletions.

There exists a striking amino acid conservation within the C-helical regions of HIV-1 and HIV-2. The amino acid conservation is of a periodic nature, suggesting some conservation of structure and/or function. A useful peptide derived from the HIV-2<sub>NHZ</sub> isolate has the 36 amino acid sequence (reading from amino to carboxy terminus):

#### NH,-LEANISOSLEQAQIQQEKNMYELQKLNSWDVFTNWL-COOH (SEQ ID NO:5)

Further, peptides useful for forming "entry-relevant" structures include peptides corresponding to the N-helical domain of gp41. One example of such a peptide, P-17, corresponds to residues 558 to 595 of the transmembrane protein gp41 from the HIV-1<sub>LA1</sub> isolate.

In addition to the full-length N-helical peptides (for example, (SEQ ID NO:1)) shown above, the peptides may include truncations of these peptides which exhibit the ability to form stable coiled-coil structure. Such truncated peptides may comprise peptides of between 3 and 55 amino acid residues, i.e., peptides ranging in size from a tripeptide to a 55-mer polypeptide, as shown in Tables III and IV, below for P-17. Peptide sequences in these tables are listed from amino (left) to carboxy (right) terminus. "X" may represent an amino group (-NH<sub>2</sub>) and "Z" may represent a carboxyl (-COOH)

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group. Alternatively, "X" and/or "Z" may represent a hydrophobic group, an acetyl group, a FMOC group, an amido group or a covalently attached macromolecular group.

	TABLE III
	Carboxy Truncations of SEQ ID NO:2
5	X-NNL-Z
	X-NNLL-Z
	X-NNLLR-Z
	X-NNLLRA-Z
	X-NNLLRAI-Z
10	X-NNLLRAIE-Z
i	X-NNLLRAIEA-Z
	X-NNLLRAIEAQ-Z
	X-NNLLRAIEAQQ-Z
	X-NNLLRAIEAQQH-Z
15	X-NNLLRAIEAQQHL-Z
	X-NNLLRAIEAQQHLL-Z
	X-NNLLRAIEAQQHLLQ-Z
	X-NNLLRAIEAQQHLLQL-Z
	X-NNLLRAIEAQQHLLQLT-Z
20	X-NNLLRAIEAQQHLLQLTV-Z
	X-NNLLRAIEAQQHLLQLTVW-Z
	X-NNLLRAIEAQQHLLQLTVWQ-Z
	X-NNLLRAIEAQQHLLQLTVWQI-Z
	X-NNLLRAIEAQQHLLQLTVWQIK-Z
25	X-NNLLRAIEAQQHLLQLTVWQIKQ-Z
	X-NNLLRAIEAQQHLLQLTVWQIKQL-Z
	X-NNLLRAIEAQQHLLQLTVWQIKQLQ-Z
	X-NNLLRAIEAQQHLLQLTVWQIKQLQA-Z
	X-NNLLRAIEAQQHLLQLTVWQIKQLQAR-Z
30	X-NNLLRAIEAQQHLLQLTVWQIKQLQARI-Z

group; an ester group (COOR) including, but not limited to, a

t-butyloxycarbonyl group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or

X-NNLLRATEAQQHLLQLTVWQIKQLQARIL-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILA-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAV-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVE-Z 5 X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVER-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVERY-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVERYL-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVERYLK-Z X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVERYLKD-Z 10 X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z The one letter amino acid code is used. "X" may represent a hydrogen attached to the terminal amino group, an amino protecting group including, but not limited to, carbobenzoxyl, dansyl, or t-butyloxycarbonyl; an acetyl group; a 9-fluorenylmethoxy-carbonyl (FMOC) group; a macromolecular carrier 15 group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates. "Z" may represent a terminal carboxyl (COOH); an amido

carbohydrates.

	TABLE IV
	Amino Truncations of SEQ ID NO:2
	X-KDQ-Z
	X-LKDQ-Z
5	X-YLKDQ-Z
	X-RYLKDQ-Z
	X-ERYLKDQ-Z
	X-VERYLKDQ-Z
	X-AVERYLKDQ-Z
10	X-LAVERYLKDQ-Z
	X-ILAVERYLKDQ-Z
	X-RILAVERYLKDQ-Z
	X-ARILAVERYLKDQ-Z
	X-QARILAVERYLKDQ-Z
15	X-LQARILAVERYLKDQ-Z
	X-QLQARILAVERYLKDQ-Z
	X-KQLQARILAVERYLKDQ-Z
	X-IKQLQARILAVERYLKDQ-Z
	X-QIKQLQARILAVERYLKDQ-Z
20	X-WQIKQLQARILAVERYLKDQ-Z
	x-vwqikqlqarilaverylkdq-z
	X-TVWQIKQLQARILAVERYLKDQ-Z
	X-LTVWQIKQLQARILAVERYLKDQ-Z
	X-QLTVWQIKQLQARILAVERYLKDQ-Z
25	X-LQLTVWQIKQLQARILAVERYLKDQ-Z
	X-LLQLTVWQIKQLQARILAVERYLKDQ-Z
	X-HLLQLTVWQIKQLQARILAVERYLKDQ-Z
	X-QHLLQLTVWQIKQLQARILAVERYLKDQ-Z
	X-QQHLLQLTVWQIKQLQARILAVERYLKDQ-2
30	X-AQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
	X-EAQQHLLQLTVWQIKQLQARILAVERYLKDQ-

X-IEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
X-AIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
X-RAIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
X-LRAIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
X-LLRAIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
X-NLLRAIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z
X-NNLLRAIEAQQHLLQLTVWQIKQLQARILAVERYLKDQ-Z

The one letter amino acid code is used.

"X" may represent a hydrogen attached to the terminal amino group, an amino protecting group including, but not limited to, carbobenzoxyl, dansyl, or t-butyloxycarbonyl; an acetyl group; a 9-fluorenylmethoxy-carbonyl (FMOC) group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates.

"Z" may represent a terminal carboxyl (COOH); an amido group; an ester group (COOR) including, but not limited to, a t-butyloxycarbonyl group; a macromolecular carrier group including, but not limited to, lipid-fatty acid conjugates, polyethylene glycol, or carbohydrates.

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The N-helical peptides also include analogs and/or truncations which may include, but are not limited to, peptides comprising the full-length or a truncated sequence, containing one or more amino acid substitutions, insertions and/or deletions.

#### Antibody Generation and Characterization

Generation and characterization of the antibodies generated against novel gp41 epitopes constitutes the second aspect of the invention. The experimental sera and monoclonal antibodies generated against the target immunogens are subjected to thorough biophysical and biological evaluation.

Antibodies are generated following established protocols. All small animal work (immunizations, bleeds, and hybridoma production) is carried out by standard methods known to those of skill in the art. A first set of immunogens consists of the peptide constructs P-15 or P-17 (capable of forming trimeric coiled-coil multimers, optionally stabilized by chemical cross-linking or oxidation), P-16 or P-18, and the P-17/P-18

mixture or P-15/P-16 mixture (wherein the peptides are optionally chemically or oxidatively cross-linked). In one set of experiments, the immunogens are conjugated to a carrier such as KLH.

Balb-c mice are immunized with each of these constructs. Due to possible disruptive effects of carrier conjugation on antigen structure, one group of mice from each set can be immunized with 100 µg of unconjugated peptide, while another group of mice can receive 100 µg of antigen conjugated to KLH. Following the initial immunization the animals receive a 100 µg boost on day 14 followed by 50 µg boosts on days 30 and 45. Bleeds occur two weeks following the final boost. Mice are also immunized with the recombinant constructs following the same outline as that for the peptide immunogens.

Alternative immunization approaches include the use of a recombinant adenovirus vector expressing all or part of the HIV-1 envelope glycoprotein gp120/gp41 as the primary immunogen followed by booster immunizations with the gp41 peptides, proteins or other constructs.

The polyclonal sera generated by the immunization of experimental animals undergo an initial screen for virus inhibition. Antiviral activity is evaluated in both cell-cell fusion and neutralization assays. In this second format, a representative sample of lab adapted and primary virus isolates is used. Both assays are carried out according to protocols described previously (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:12676-12680 (1994); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:9770-9774 (1994)). Samples are also screened by ELISA to characterize binding. The antigen panel includes all experimental immunogens. Animals with sera samples which test positive for binding to one or more experimental immunogens are candidates for use in MAb production. Following this initial screen, one animal representing each experimental immunogen is selected for monoclonal antibody production. The criteria for this selection is based on neutralizing antibody titers and in the absence of neutralization, binding patterns against the panel of structured immunogens.

Hybridoma supernatants are screened by ELISA, against structured and non-structured peptides and recombinants. Samples that are ELISA negative or weakly

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positive are further characterized for IgG. If IgG is present the material is screened in the biophysical and biological assays. Strongly positive samples are screened for their ability to neutralize virus and bind envelope. The experimental material can be further tested against a panel representing the spectrum of HIV-1 isolates. These isolates include lab adapted and primary virus strains, syncytium and non-syncytium inducing isolates, virus representing various geographic subtypes, and viral isolates which make use of the range of second receptors during virus entry. These neutralization assays employ either primary cell and cell line targets as required.

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Antibodies are characterized in detail for their ability to bind HIV envelope under various conditions. It is another object of the invention to determine the gp41 target epitopes are exposed on native envelope or if the envelope must first undergo some interaction which triggers a conformational change i.e binding CD4 and/or co-receptor in order to expose these epitopes. For detection of antibody binding to native envelope, immunoprecipitations on Env-expressing cells and virions, both intact and lysed are performed using non-ionic detergents (Furata, RA et al., Nat. Struct. Biol. 5(4):276-279 (1997); White, J. M. and I. A. Wilson, J. Cell Biol. 105:2887-2894 (1987); Kemble, G. W., et al., J. Virol. 66:4940-4950 (1992)). Antibody binding to cell lysates and intact virions are also assayed in an ELISA format. Flow cytometry experiments are performed to determine binding to envelope expressing cells. Cross-competition experiments using other mapped Mabs, human sera, and peptides can also be performed. To characterize "triggers" to the conformational change, antibody binding to virus in the presence and absence of both sCD4 and target cells can be compared (White, J. M. and I. A. Wilson, J. Cell Biol. 105:2887-2894 (1987); Kemble, G. W., et al., J. Virol. 66:4940-4950 (1992)). Because the gp41 regions are highly conserved, epitope exposure using several different envelopes can be compared to discern possible differences in structure between primary, lab-adapted and genetically diverse virus isolates.

# Pharmaceutical Compositions and Methods of Using

The immunogenic constructs of the present invention can be employed in vaccines in an amount effective depending on the route of administration. Although

subcutaneous or intramuscular routes of administration are preferred, peptides, multimers or peptide conjugates of the present invention can also be administered by an intraperitoneal or intravenous route. One skilled in the art will appreciate that the amounts to be administered for any particular treatment protocol can be readily determined without undue experimentation.

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The vaccines of the present invention may be employed in such forms as capsules, liquid solutions, suspensions or elixirs for oral administration, or sterile liquid forms such as solutions or suspensions. Any inert carrier is preferably used, such as saline, phosphate-buffered saline, or any such carrier in which the conjugate vaccine has suitable solubility properties. The vaccines may be in the form of single dose preparations or in multi-dose flasks which can be used for mass vaccination programs. Reference is made to Remington's *Pharmaceutical Sciences*, Osol, ed., Mack Publishing Co., Easton, PA (1980), and *New Trends and Developments in Vaccines*, Voller, *et al.*, eds., University Park Press, Baltimore, MD (1978), for methods of preparing and using vaccines.

The vaccines of the present invention may further comprise adjuvants which enhance production of HIV-specific antibodies. Such adjuvants include, but are not limited to, various oil formulations such as Freund's complete adjuvant (CFA), stearyl tyrosine (ST, see U.S. Patent No. 4,258,029), the dipeptide known as MDP, saponins and saponin derivatives, such as Quil A and QS-21, aluminum hydroxide, and lymphatic cytokine. Preferably, an adjuvant will aid in maintaining the secondary and quaternary structure of the immunogens.

Freund's adjuvant is an emulsion of mineral oil and water which is mixed with the immunogenic substance. Although Freund's adjuvant is powerful, it is usually not administered to humans. Instead, the adjuvant alum (aluminum hydroxide) or ST may be used for administration to a human. The vaccine may be absorbed onto the aluminum hydroxide from which it is slowly released after injection. The vaccine may also be encapsulated within liposomes according to Fullerton, U.S. Patent No. 4,235,877, or mixed with or liposomes or lipid mixtures to provide an environment similar to the cell surface environment.

In another preferred embodiment, one or more immunogens of the invention are combined with other immunogens that are used to vaccinate animals.

In another preferred embodiment, the present invention relates to a method of inducing an immune response in an animal comprising administering to the animal the vaccine of the invention in an amount effective to induce an immune response. Optionally, the vaccine of the invention may be coadministered with effective amounts of other immunogens as mentioned above to generate multiple immune responses in the animal.

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Compositions of the invention are useful as vaccines to induce active immunity towards antigens in subjects. Any animal that may experience the beneficial effects of the compositions of the present invention within the scope of subjects that may be treated. The subjects are preferably mammals, and more preferably humans.

The administration of the vaccine may be for either a "prophylactic" or "therapeutic" purpose. When provided prophylactically, the vaccine(s) are provided in advance
of any symptoms of HIV infection, or in advance of any known exposure to HIV. The
prophylactic administration of the vaccine(s) serves to prevent or attenuate any
subsequent infection. When provided therapeutically, the vaccine(s) is provided upon or
after the detection of symptoms which indicate that an animal may be infected with HIV,
or upon or after exposure to the virus. The therapeutic administration of the vaccine(s)
serves to attenuate any actual infection, for example as measured by improving the
symptoms of a subject, or by reducing the level of viral replication. Thus, the vaccines,
may be provided either prior to the onset of infection (so as to prevent or attenuate an
anticipated infection) or after the initiation of an actual infection.

# Example 1

# Immunogens of the Present Invention Elicit a Neutralizing Antibody Response to Entry-Relevant Structures on HIV-1 gp41

#### Materials and Methods

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Polyclonal sera can be obtained by immunizing rabbits or guinea pigs using methods well known to those skilled in the art. For example, the animals are immunized at multiple sites (sub-cutaneous and sub-clavicular) with a total of 200 µg (rabbits) or 100 µg (guinea pigs) of the appropriate peptide, protein, combination of construct in complete Freund's adjuvant. This is followed by two booster immunizations with the same immunogen in incomplete Freund's adjuvant at monthly intervals following the primary immunization. Sera are collected prior to, and at intervals following, the series of immunizations. These sera are analyzed for the presence of antibodies to the immunogen or other antigens by various assays including those described below.

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Peptide ELISA: Antigen was coated onto 96-well microtiter plates (Immulon 2) at 1 µg/well. Following overnight incubation at 4 °C, plates were washed, blocked and test sera was added. After a 1.5 hr incubation, plates are washed and bound antibody is detected by addition of phosphatase-conjugated secondary antibody and development by pNPP.

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Dot Blots: Antigen (2 µg) was blotted onto nitrocellulose, blocked and allowed to air dry. Blots were incubated 4 hr with test sera at a 1:100 dilution. A secondary antibody peroxidase/TMB detection system was used.

Western Blots: Immunoblots were carried out using commercially available strips (Organon Teknika) with test sera at 1:100.

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Viral Lysate Immunoprecipitation: HIV-1 infected cells (IIIB/H9) were lysed and mixed with immune sera at a dilution of 1:100. Following incubation with Protein A- agarose, immunoprecipitates were separated by SDS-PAGE and probed with a gp41 specific monoclonal antibody.

Cell Surface Immunoprecipitation: Two days post transfection, 1.5 x 10<sup>7</sup> envelope expressing 293T cells were incubated with experimental sera with and without sCD4 (10µg/ml unless otherwise noted). Following incubation, cells were lysed and incubated with Protein A-agarose. Immunoprecipitates were separated by SDS-PAGE and probed with a gp41 specific monoclonal antibody.

Neutralization Assay: Test sera was incubated at a 1:10 dilution with indicated amount of virus (HIV-1 IIIB) for 1hr at 37 °C. At the end of this time target cells were added (CEM) and the experiment was returned to the incubator. On days 1, 3 and 5, post-infection complete media changes were carried out. On day 7 PI culture supernatant were harvested. Levels of virus replication were determined by p24 antigen capture. Levels of replication in test wells were normalized to virus only controls.

#### Results

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Rabbits or guinea pigs were immunized and sera analyzed by methods described above. The following data describe the characterization of polyclonal antibodies generated to various immunogens that are the subject of this invention.

Table V illustrates results of the analysis of polyclonal sera to various immunogens analyzed by peptide ELISA or dot blots. Several immunogens elicited a strong antibody response in these assays. For example, immunization with P15 resulted in sera with strong antibody reactivity to P15 by peptide ELISA (titer >1:102400), and strong reactivity to P15, a mixture of P15 + P16 and HIV-1 gp41 by dot blot. Similar results were obtained in these assays following immunization with a mixture of P15 and P16 (Table V).

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Description of Table V: Analysis of polyclonal sera to various immunogens by peptide ELISA or dot blot. For this and subsequent figures all results are based on immunizations of rabbits except for immunizations with P-17 or P-18 alone which were

performed in guinea pigs. The immunogens used are indicated in the vertical list on the left side of the table. The antigens used in each assay are indicated on the top row of the table. Peptide ELISA results are presented as titers (the maximum dilution that gives a positive result in the assay). Dot blot results are scored from - (no reactivity) to +++ (very strong reactivity). HIV TM is HIV-1 gp41. For Table V, \*BS³ refers to chemically cross linked; and ND indicates "not determined."

TABLE VA

		·		. Dot	Blot		
	Immunogen	P15	P16	P15+P16	P-17	P-18	HIV TM
	P15	+++	-	+++	+	-	+++
10	P-17	ND	ND	ND	ND	ND	ND
	·						
	P16	-	+/-	++	-	_	+
	P-18	ND	ND	ND	ND	ND	ND
	P15+P16	+++	+	+++	+/-	+/-	+++
15					·		
	P-17+P-18	-	-	-	++	+/-	+ .
	P-17+P-18*	<u>-</u>	-	-	+++	+/-	++
							·
	P15*	+++	-	+++	+	-	++
	P16*	-	+	++	+/-	•	++
	HIV TM	ND	ND	ND	ND	ND	ND

TABLE Vb

			Peptide ELISA					
Immunogen	P15	P16	P15+P16	P-17	P-18	P-17/P-18	P-15/P-18	gp 41
P15	1:1.6x10°	1:1600	1:1.6X10 <sup>6</sup>	~1:800	ND	QN	QN	1:6400
P-17	QN	GN .	QN	1:4.1X10 <sup>5</sup>	QN	1:4.1x10 <sup>5</sup>	QN	1:25600
P16	>1:1600	1:1.0X10 <sup>5</sup>	1:25600	ND	-1:800	ND	ΩN	1:1600
P-18	QN	QN	QN	ND	1:25600	1:1.0x10³	ND	1:25,600
P15+P16	1:4.1X10 <sup>5</sup>	1:4.1X10 <sup>5</sup>	1:4.1X10 <sup>5</sup>	<1:100	>1:3200	QN	QN	1:4.1x10 <sup>5</sup>
P-17+P-18	ND	ND	>1:200	1:25600	1:6400	1:1.0x10 <sup>5</sup>	QN	1:1600
P-17+P-18*	QN	QN	>1:100	1:1600	<1:1600	ND	ΩN	N QN
P15*	1:12800	QN	>1:25600	ND	ND	QN	ΩN	Ω.
P16*	QN	1:25600	>1:25600	ND	ND	QN	QN	Q.
P-15/P-18	1:4.1X10 <sup>5</sup>	. QN	QN	ND	1:6400	QN ON	1:4.1X 10 <sup>5</sup>	1:25600
	-							
HIV TM	1:25600	>1:6400	ND	1:400	1:1600			

These results were confirmed and extended by analysis of the polyclonal sera for reactivity with HIV-1 gp120, gp41 or gp160 by western blot or immunoprecipitation (Table VI). For example, immunization with P15 or P15 + P16 elicited antibodies that reacted with gp160 by western blot, and which precipitated gp41 in infected cell lysates. Of particular interest, P15 + P16 elicited an immune response that reacted with cell surface gp41, but only following treatment of the cells with sCD4 (Figure 4). Previous reports have found that sCD4 binds to gp120 resulting in conformational changes in gp120/gp41 or stripping of gp120 from gp41. This process presumably mimics events that occur at attachment of HIV-1 to its receptor CD4 on target cells. The present results suggest that immunization with the mixture of P15 + P16 elicits an immune response to cryptic epitopes on gp41 that are only exposed following binding of gp120 to CD4. Table VI: Analysis of polyclonal sera to various immunogens by western blot or immunoprecipitation. The immunogens used are indicated in the vertical list on the left side of the table. The antigens used in each assay are indicated on the top row of the table. Results are scored from - (no reactivity) to ++++ (very strong reactivity), w: weak reactivity; \*: BS<sup>3</sup> chemically cross-linked prior to administration; ND: not determined; HIV TM: HIV-1 gp41.

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Table VI

	HIV-1	WB	I	P
	gp160	gp41	Lysate	Surface
P15	w	w	++++	-
P-17	w	w	++++	ND
			<u> </u>	<b></b>
P16	-	<u> </u>		ļ
				ļ
P-18	+	w	<del></del>	ND
D15 . D16		<del></del>	<del> </del>	<del> </del>
P15+P16	+++	++	++++	+
P-17+P-18	-	++	-	ND
P-17+P-18*	w	-	<u> </u>	ND
,			<u></u>	
P15*	w	-	+++	-
P16*	+	<u> </u>	<del> </del>	ND
		<u> </u>	<del> </del>	
HIV TM	+++	++	++++	ND

Figure 5 provides data demonstrating that immunogens of the present invention elicit a neutralizing antibody response. While some non-specific inhibition of HIV-1 replication is seen following incubation with pre-bleed sera, considerably greater inhibition is seen following incubation with sera from animals immunized with P15 or P15+P16. These results indicate that these sera contain neutralizing antibodies resulting from immunization with the immunogen of, and by the methods of, the current invention.

These data are supported by the fact that monoclonal antibodies have been generated in mice to several of the immunogens discussed above. When analyzed by some of the methods described above similar results were obtained to those seen with the polyclonal sera (not shown).

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#### Discussion

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The structural components of gp41, which are present only during virus entry, form a novel set of neutralizing epitopes. The relatively short lived nature of these entry relevant structures and their presence only during natural infection would account for the observation that neutralizing antibodies targeting gp41 epitopes are poorly represented in sera from HIV infected individuals and all but absent in vaccinee sera. This theory is supported by work involving synthetic peptides which model the regions of gp41 identified as taking part in the entry related structural reorganization (Wild, C., et al., Proc. Natl. Acad. Sci. USA 89:10537-10541 (1992); Wild, C., et al., AIDS Res. Hum. Retroviruses 9:1051-1053 (1993); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:12676-12680 (1994); Wild, C., et al., AIDS Res. Hum. Retroviruses 11:323-325 (1995); Wild, C., et al., Proc. Natl. Acad. Sci. USA 91:9770-9774 (1994)). It has been shown that these materials inhibit HIV infection by blocking virus entry and the mechanism of their activity is their ability to interact with and disrupt gp41 structural components critical to the entry event. Although transitory, these gp41 entry structures are both accessible and appropriately sensitive targets for neutralizing antibody.

Independent of their neutralizing potential, monoclonal antibodies targeted to conserved structures in the TM will prove invaluable as reagents for dissecting the structural transitions that occur in Env as part of virus entry.

We have been successful in our initial attempt to generate a structure specific antibody against the coiled-coil region of gp41. In this work we used a modified form of the P-17 peptide as immunogen and generated MAbs that recognize the structured peptide but not a proline containing P-17 analog which is unstructured. Also, this antibody can co-immunoprecipitate the P-18 peptide.

# Example 2 Neutralizing Antibody Response to Peptides Modeling the C-helical Region of gp41

This example measures the humoral response to antigens modeling the C-region of gp41. This work used synthetic peptides and a recombinant form of viral protein to characterize antibodies raised against the C-helical regions of gp41 of the viral TM.

These studies employ antibody binding assays to determine the ability of these materials to generate an immune response to various forms of envelope (native vs. denatured) and virus neutralization assays to characterize the antibody response raised against these gp41 domains. The complete panel of immunogens has generated data which allow new insight into the antigenic nature of gp41. Most encouraging have been the results from Guinea Pigs immunized with the peptide, P-18, modeling the C-helix entry domain (amino acid residues 643-678 of gp41). Specifically, two of three animals receiving this material exhibited a neutralizing antibody response against divergent virus isolates in a variety of assay formats. Additional studies have confirmed these results. See Example 3.

In study 1, guinea pigs were immunized intramuscularly with 100 µg of P-18 formulated in either Freund's complete (prime) or incomplete (boost) adjuvant. Animals were immunized on days 0, 21, 34, 48 and 62. Blood was collected on days 44, 58 and 72. In the initial screen, sera at at 1:10 dilution were tested for ability to inhibit virus-induced cell killing. In these assays two of the three animals receiving the P-18 peptide (guinea pigs gp233 and gp234) were able to block the cytopathic effects of a pair of prototype HIV-1 isolates. Against the MN isolate >80% protection was achieved while against RF protection was >50%.

In an assay employing the same format (against HIV- $1_{\rm MN}$ ), we titrated the sera from gp233 and gp234. As can be seen in Figure 6a, these animals displayed the expected dose-related anti-viral activity. Guinea pigs 233 and 234 gave a 50% reduction in virus-induced cell killing at 1:40 and 1:37 dilutions, respectively.

A neutralization assay was carried out employing a different target cell and endpoint analysis. In this format, CEM T-cell line was inoculated with 200 TCID<sub>50</sub> of

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the HIV- $1_{\rm MN}$  isolate. The reduction in viral replication for gp233 and gp 234 at a serum dilution of 1:10 is shown in Figure 6b.

Figure 6a shows the titration of bleed 2 for each animal against HIV-1<sub>MN</sub> in the cell killing assay which uses cell viability as a measure of virus neutralization. MT2 cells are added to a mixture of virus (sufficient to result in greater than 80% cell death at 5 days post infection) and sera which had been allowed to incubate for approximately 1 hr. After 5 days in culture, cell viability is measured by vital dye metabolism. Figure 6b shows the percent neutralization for each bleed at a 1:10 dilution against HIV-1<sub>MN</sub> in an assay format employing CEM targets and p24 endpoint. In this assay, sera are incubated with 200 TCID<sub>50</sub> of virus for 1 hr prior to the addition of cells. On days 1, 3, and 5 media are changed. On day 7 culture supernatants are collected and analyzed for virus replication by p24 antigen levels. In each assay format, percent neutralization is determined by comparison of experimental wells with cell and cell/virus controls.

The pattern of virus neutralization observed in the previous assays is repeated. At this serum dilution, bleed # 2 for guinea pigs 233 and 234 gave 80% and 90% virus neutralization, respectively. The same pattern of results was observed against the HIV- $1_{\rm SFZ}$  isolate where under identical assay conditions bleed # 2 from animals 233 and 234 gave 70% and 50% neutralization. Control animals receiving adjuvant only exhibited no neutralizing activity.

These sera neutralize the HIV-1 isolates MN, RF, and SF2. These results indicate a breadth of activity unseen in most other subunit immunogens. By comparison, sera generated against V3 peptides are restricted in their activity to a small set of very closely related isolates. Due to the nature of the experiment the low antibody titers are not unexpected. These animals were immunized with free peptide formulated in Freund's adjuvant. Neither carrier molecules nor accessory proteins were used to enhance the immune response to this molecule. Results from binding assays indicate low but appreciable levels of antibody against viral envelope.

In ELISA assays using recombinant gp41 endpoint titers of 1:6400-1:44,800 were observed for these samples. Linking P-18 to KLH (or other carrier molecules) and/or administering the conjugate in an adjuvant designed to enhance the immunogenicity of subunit antigens is expected to result in a significant increase in neutralizing response.

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# Example 3

In a second study, 2 out of 3 animals immunized with P-18 neutralized the HIV-1 MN isolate in the assay using the MT2 cell line.

	animal	neut50 titer
5	BT 004	1:21
	BT 005	1:14

Also, one animal receiving P-18 coupled to KLH neutralized the MN isolate in the same assay format.

	animal	neut50 titer
10	BT 007	1:15

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# Example 4

The peptide used to generate the immune response in Example 2 includes within its sequence the linear epitope for the 2F5 monoclonal antibody. To determine if our immune response was against this same region of envelope, or involved a previously unidentified neutralizing epitope, a series of binding experiments was carried out to characterize the reactivity of our polyclonal sera. As can be seen in Table 1, at a dilution of 1:100 all animals exhibit good ELISA binding to the cognate immunogen (P-18). Sera from these animals also have substantial antibody titers against a peptide derived from the N-terminal P-18 sequence, P1 (Table VII). However, when tested at this same dilution against a pair of C-terminal P-18 analogs, P2 and P3 (Table VII) no ELISA reactivity was observed (Table VIII). This result is significant in that the P3 peptide includes the linear binding region (ELDKWAS) for the 2F5 monoclonal antibody. These results demonstrate that the neutralizing activity in our sera is not due to binding to the 2F5 epitope.

Table VII

Set of three overlapping peptides corresponding to the P-18 peptide

	P1	YTSLIHSLIEESQNQQEK	(SEQ ID NO:77)
	P2	EESQNQQEKNEQELLELD	(SEQ ID NO:78)
5	P3	LELDKWASLW	NWF
	,		(SEQ ID NO:79)
	P-18	YTSLIHSLIEESQNQQEKNEQELLELDKWASLWNWF	(SEQ ID NO:5)

### Table VIII

FI	ISA	h	indin	o at	1:100	$(\mathbf{OD})$
	MU C	Lυ.	шшш	z aı	1.100	$\cup D$

10	<u>Sample</u>	<u>P1</u>	<u>P2</u>	<u>P3</u>	<u>P-18</u>
	gp232-2	0.833	0.124	0.003	1.423
	gp232-3	0.858	0.022	0.009	1.067
·	gp233-2	1.024	0.019	0.010	1.314
	gp233-3	0.885	0.015	0.015	1.161
15	gp234-2	0.492	0.015	0.016	1.152
	gp234-3	0.796	0.012	0.009	0.913

ELISA binding by guinea pig sera to P-18 and a set of overlapping peptides corresponding to P-18. The majority of the antibody binding is to P-18 and the N-terminal peptide P1. Very little or no reactivity is observed against P2 and P3 modeling the C-terminal region of P-18.

# Example 5 Expression of Recombinant gp41 Construct

The plasmid for expression of the construct containing the N- and C-helical domains of HIV-1 gp41 separated by a short linker sequence (See FIG. 7) was prepared as follows. The bacterial expression vector pTCLE-ssG2C, (based on pAED-4, a T7 expression vector developed specifically for the expression of small proteins) provided by Dr. Terrance Oas, Duke University was digested at the unique restriction sites NdeI and EcorI and gel purified using the Qiaex system. The DNA fragments encoding the N- and C-helical regions of HIV-1 gp41 and a short linker sequence were PCR amplified by standard techniques from gp41 expression vectors using the following primers.

N-helix primer pair:

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5'; 5' GGG CCC ATA TGG GTA TTG TTC AGC AG 3' (includes NdeI site),

(SEQ ID NO:80)

- 3'; 5' GGG CCG GCG CCT GAG CCG CCG CCT TGA TCC TTC AGG TAG CGT TC
- 15 3' (includes NarI site).

(SEQ ID NO:81)

C-helix primer pair:

- 5'; 5' GGG CCG GCG CCG GCT CAG AGT GGG ACA GAG AAA TTA ACA ATT AC 3' (includes NarI site), (SEQ ID NO:82)
- 3'; 5' GGG CCG AAT TCT TAA AAC CAA TTC CAC AAA CTT GCC CAT TT 3' (includes EcorI site and a stop codon). (SEQ ID NO:83)

These fragments were inserted (blunt end ligation) into the TA vector which was amplified to generate larger amounts of DNA. The fragments coding for to the N and Chelices were released from the TA vector by restriction digest (Chelix: NarI and EcoRI, N-helix: NdeI and NarI) and gel purified. A three-way ligation was performed using standard procedures to introduce the DNA coding for the N- and Chelical fragments into the pTCLE-ssG2C vector. The product of this step was characterized by restriction digestion and DNA sequencing. The vector containing the desired gp41 coding region was prepared in large quantity and BL-21 *E. coli* host cells were transformed and induced to express the desired protein. The desired proteins may or may not have a methionine as

the first amino acid at he N-terminus. Over-expression of a protein of the appropriate molecular weight was observed by SDS-Page gel electrophoresis.

Although the foregoing refers to particular preferred embodiments, it will be understood that the present invention is not so limited. It will occur to those of ordinary skill in the art that various modifications may be made to the disclosed embodiments and that such modifications are intended to be within the scope of the present invention, which is defined by the following claims.

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All publications, patents and patent applications mentioned in this specification are indicative of the level of skill of those in the art to which the invention pertains. All publications, patents and patent applications are herein incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference in their entirety.